<u>**Claims:**</u>

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

1. (currently amended) A method of doing business subject to a risk event comprising the steps of:

> providing <u>a predetermined number of predetermined</u> questions to solicit answers that define attributes of the risk event;
>
> obtaining the answers to the questions;
>
> inputting the answers into a computer programmed to: 1) store the answers in the form of the attributes of the risk event, 2) map each answer to a list of possible event types corresponding to each <u>said</u> answer, thereby generating a mapped list for each <u>said</u> answer, and 3) compare the mapped lists to determine an event type of the risk event; and
>
> taking action based on the determined event type<u>, wherein said taking action comprises establishing appropriate reserves to protect against future risk events</u>.

2. (previously presented) The method of claim 1 wherein the computer is programmed to provide a user interface for providing the questions to an event reporter, and for receiving the answers to the questions from the event reporter, wherein each of the questions have only one answer that can be selected from a list of answers for the question, and wherein the selected answer becomes an attribute assigned to the risk event being reported.

3. (currently amended) The method of claim 1 wherein the computer is programmed to store the answers in the form of attributes of the event including, who or what initiated the event, what was the benefit to <u>said who or what initiated the event</u> ~~the initiator~~, who or what was impacted

by the event, the nature of the impact, and the ~~initiator's~~ role in the event <u>of said who or what initiated the event</u>.

4. (previously presented) The method of claim 1 wherein the taking action comprises taking action based on a statistical analysis of past typed events, including the risk event.

5. (previously presented) The method of claim 1 wherein the taking action comprises taking preventive steps to reduce events of the event type.

6. (previously presented) The method of claim 1 wherein the step of providing questions further comprises providing a question regarding who or what initiated the event.

7. (previously presented) The method of claim 1 wherein the step of providing questions further comprises providing a question regarding what was the benefit to the initiator.

8. (previously presented) The method of claim 1 wherein the step of providing questions further comprises providing a question regarding who or what was impacted.

9. (previously presented) The method of claim 1 wherein the step of providing questions further comprises providing a question regarding the nature of the impact of the event.

10. (previously presented) The method of claim 1 wherein the step of providing questions further comprises providing a question regarding the initiator's role and level of responsibility and legal duty in the event.

11. (previously presented) The method of claim 1 wherein the computer is programmed to map each answer to a list of possible event types that include one or more of the following event types: theft/fraud, unauthorized trading, information security, personal safety, employee relations, diversity/discrimination, natural disaster, terrorism/political, malicious damage, disclosure suitability & fiduciary, improper business practices, tax violation, advisory actions, sponsorship & selection, regulatory monitoring / reporting, transaction processing, client account error, system failure, vendor dispute, and event type "unknown".

12. (previously presented) The method of claim 1 wherein the risk event comprises one of the events selected from the group consisting of third party theft, employee theft, third party fraud, employee fraud, natural disaster, physical injury, illegal action by [[and]] an employee, illegal action by the organization, and failure to take a required action.

13. (currently amended) A computer-assisted method of categorizing a risk event comprising the steps of:

> providing a predetermined number of predetermined questions to solicit answers that define attributes of the risk event;

> obtaining the answers to the questions;

> inputting the answers into a computer programmed to: 1) store the answers in the form of the attributes of the risk event, 2) map each answer to a list of possible event types, thereby generating a mapped list for each answer, and 3) compare all of the mapped lists to determine an event type of the risk event; and

> displaying the event type.

14. (currently amended) A system for typing risk events comprising:

> a computer programmed for event typing;

> a user interface for posing a predetermined number of predetermined questions regarding an event to an event reporter, and for receiving responses to the questions from the event reporter, each of the questions having only one answer that can be selected from a list of answers for the question, wherein the selected answer becomes an attribute assigned to the event being reported;

> a look up table to generate a list of mapped possible event types for each answer;

wherein the programmed computer selects one or more event types common to the lists of mapped possible event types, and a user of the system takes an action based on the event type presented by the system.

15. (previously presented) The system of claim 14 further comprising a non-volatile memory.

16. (previously presented) The system of claim 15 wherein the memory records the attributes associated with the event.

17. (previously presented) The system of claim 15 wherein the memory records events, attributes and statistics regarding recorded event types.

18. (previously presented) The system of claim 14 wherein the questions comprise one or more questions regarding the event selected from the group of questions consisting of: who initiated the event, what was the benefit to the initiator, who was impacted, what was the damage to the impacted party, and what was the initiator's role / responsibility regarding the impacted party.

19. (previously presented) The system of claim 14 wherein the possible event types for each answer comprise one or more event types selected from the group of event types consisting of: theft/fraud, unauthorized trading, information security, personal safety, employee relations, diversity/discrimination, natural disaster, terrorism/political, malicious damage, disclosure suitability & fiduciary, improper business practices, tax violation, advisory actions, sponsorship & selection, regulatory monitoring / reporting, transaction processing, client account error, system failure, vendor dispute, and event type "unknown".

20. (new) A method of doing business subject to a risk event comprising the steps of:

providing a predetermined number of predetermined questions to solicit answers that define attributes of the risk event;

obtaining the answers to the questions;

inputting the answers into a computer programmed to: 1) store the answers in the form of the attributes of the risk event, 2) map each answer to a list of possible event types corresponding to each said answer, thereby generating a mapped list for each said answer, and 3) compare the mapped lists to determine an event type of the risk event; and

taking action based on the determined event type, wherein said taking action comprises establishing appropriate reserves to protect against future risk events;

wherein the computer is programmed to provide a user interface for providing the questions to an event reporter, and for receiving the answers to the questions from the event reporter, wherein each of the questions have only one answer that can be selected from a list of answers for the question, and wherein the selected answer becomes an attribute assigned to the risk event being reported;

wherein the computer is programmed to store the answers in the form of attributes of the event including, who or what initiated the event, what was the benefit to said who or what initiated the event who or what was impacted by the event, the nature of the impact, and the role in the event of said who or what initiated the event;

wherein the taking action comprises taking action based on a statistical analysis of past typed events, including the risk event, and wherein the taking action comprises taking preventive steps to reduce events of the event type; and

wherein the step of providing questions further comprises providing a question regarding who or what initiated the event, what was the benefit to the initiator, who or what was impacted, the nature of the impact of the event, and the initiator's role and level of responsibility and legal duty in the event.